

## ORDER EXECUTION POLICY

### 1. Introduction

Unicorn Capital LLC (hereinafter referred to as the “Company”) is duly registered in the Saint Vincent and the Grenadines with registration number 4416 LLC 2026 and with registered address at Euro House, Richmond Hill Road, P.O. Box 2897, Kingstown, St Vincent and the Grenadines

Acceptance of this Order Execution Policy is confirmed at the time the client completes and approves the Account Opening Form. By consenting to the Company’s Terms and Conditions, Privacy Policy, and Risk Disclosure, the client enters into a binding Client Agreement for the provision of investment services and agrees that this Order Execution Policy governs the execution of their orders.

This Policy sets out the principles and arrangements adopted by the Company to ensure that client orders are handled in a manner designed to achieve the best possible execution results. It has been established in line with the requirements of the applicable laws and regulations, which obliges regulated entities to maintain appropriate policies, procedures, and operational measures to protect client interests and promote fair and efficient execution. This Policy is intended to inform clients of the Company’s approach to order execution, including the methods applied and the factors considered when executing transactions in financial instruments.

In executing orders, or when receiving and transmitting orders for execution, the Company takes all reasonable and practical steps to obtain the most favorable result available, taking into account prevailing market conditions and other relevant considerations. This Policy provides a high-level description of the order execution process and identifies factors that may influence execution outcomes. All orders are executed on the basis that the Company acts on a principal basis and not as an agent of the client. The provisions of this Policy apply whenever the Company undertakes the execution of client orders. Although the Company strives to achieve optimal execution, it does not warrant that the execution price obtained will always be superior to prices available from other sources or venues.

### 2. Acknowledgements

By using the Company’s trading services, you acknowledge and agree to be bound by this Order Execution Policy. You further understand and accept that by placing trades in any

Financial Instruments offered by the Company, you will become a Client of the Company. Notwithstanding this, any funds you have deposited may remain held and safeguarded with an intermediary broker, in accordance with applicable arrangements.

This Policy may be updated or amended periodically. Any changes to this Policy shall be deemed accepted by you when you continue to execute Orders through the trading platform provided by the Company. By placing an Order, you confirm that you have read, understood, and agreed to be bound by the terms of this Policy, including any amendments. It is your responsibility to ensure that you have reviewed the most current version of this Policy before trading.

The client further understands and agrees that transactions in financial instruments carry inherent risks, including the possibility of partial or total loss. This Policy is designed to outline certain key risks relevant to trading activities; however, it should not be regarded as a complete or definitive statement of all risks that may be encountered.

Risks may arise from a variety of factors, including but not limited to market volatility, liquidity constraints, price movements, execution delays, and fluctuations caused by economic or geopolitical events. In addition, trading through electronic platforms involves operational and technological risks, such as system interruptions, connectivity issues, or failures in third-party infrastructure, which may affect order placement or execution.

Clients are encouraged to carefully consider the characteristics of the financial instruments they trade and to ensure they possess sufficient knowledge and experience to assess the associated risks. It remains the client's responsibility to determine whether trading is suitable based on their financial circumstances, investment objectives, and risk appetite, prior to engaging in any trading activity with the Company.

### **3. No Guarantees**

We aim to take reasonable commercial steps to achieve the best available outcome for your order, taking into account the circumstances at the time it is placed. In doing so, we may consider factors such as price, applicable costs, execution speed, the likelihood of execution and settlement, the size and nature of the order, and any other elements that may be relevant. The weight given to each factor may differ depending on market conditions and the characteristics of the order.

Submitting an order does not mean that it will necessarily be accepted or executed. We do not guarantee execution, nor do we guarantee the timing, speed, or price at which an order may be completed. Execution outcomes may differ between clients trading the

same financial instrument due to factors such as order type, market volatility, liquidity conditions, and technical or connectivity delays.

This Policy is provided for guidance only and does not create any obligation on our part to achieve a specific execution result for any order.

#### **4. Margin Requirements**

The Company may reject any order if your available margin is insufficient to meet the required margin for opening or maintaining a position. If your margin falls below the required level, the Company may, without prior notice or consent, automatically close open positions and/or cancel any pending orders through its auto-close system. These actions are executed automatically and are not actively managed on your behalf.

In the event that the closure of positions results in a negative balance in your trading account, you are responsible for covering the full loss and must promptly repay the amount owed. The Company's systems may issue margin call notifications to alert you of low margin levels. Please note that the timing and thresholds of these notifications may vary depending on system settings and should not be relied upon as a guarantee that your margin will remain sufficient.

#### **5. Best Execution Criteria**

The Company will apply its commercial judgment and expertise when assessing the relative importance of the factors outlined in this Policy for achieving the best possible execution. In doing so, the Company will consider relevant market information and the specific circumstances of each order, including:

- (a) The nature and details of the client's order;
- (b) The characteristics of the financial instruments involved; and
- (c) The features and capabilities of the execution venue to which the order is submitted.

#### **6. Factors of Execution**

Below are the execution factors that will be considered in the handling of client orders:

1. **Price and Costs** – Price and costs are considered of high importance when executing orders. The Company provides two prices for each financial instrument: the higher price (Ask), which is the price at which a client may purchase the instrument, and the lower price (Bid), which is the price at which a client may sell it.
2. **Order Types and Execution Phases** – Orders that are executed at the Ask price include Buy Stop, Stop Loss, Take Profit, and Buy Limit orders for open short positions. Orders executed at the Bid price include Sell Stop, Stop Loss, Take Profit, and Sell Limit orders for open long positions. This ensures that orders are processed in accordance with the market pricing applicable to the type of transaction.
3. **Financing Fees and Commissions** – Certain financial instruments may incur financing fees or commissions upon opening a position. Clients are informed in advance of any applicable charges or rates, which are assessed to be fair and reasonable before the trade is initiated.
4. **Speed of Execution** – Execution speed is a critical factor for certain types of orders, particularly during periods of high market volatility. The Company endeavors to execute orders as quickly as technology and system constraints allow, ensuring timely and efficient order processing while maintaining the best possible execution standards.
5. **Probability of Execution and Settlement** – The likelihood of execution is contingent upon the availability of prices from market makers or financial institutions. Circumstances such as high volatility, force majeure events, the start of trading sessions, low liquidity, or significant news releases may prevent orders from being executed at the requested price. In such cases, the Company will ensure orders are executed at the best achievable price and in line with the client's best interests. Orders are settled only once successfully executed.
6. **Minimum Order Size** – Minimum order sizes may vary depending on the client account type. The Company reserves the right to reject any order in accordance with the terms outlined in the client agreement.
7. **Other Relevant Factors** – The Company may also consider any additional factor it deems relevant to the execution of an order when determining the optimal method of execution.

## 7. Execution Orders

### Market Order

A Market Order is an instruction to buy or sell a financial instrument at the prevailing market price. When executed, a Market Order results in the opening of a trade position.

Purchases are made at the Ask price, while sales are executed at the Bid price. Market Orders may include attached Stop Loss and Take Profit instructions to manage risk and potential profit. All account types offered by the Company are eligible to place Market Orders.

### **Pending Order**

A Pending Order enables a client to instruct the Company to buy or sell a financial instrument at a specific price at a future time, rather than at the current market price. These orders remain inactive until the market reaches the price level set by the client, at which point they are automatically triggered and executed. Pending Orders allow clients to implement trading strategies in advance without having to monitor the market constantly.

While the intention of a Pending Order is to execute at the requested price, there are circumstances where this may not be possible. Factors such as rapid market movements, periods of high volatility, limited liquidity, trading restrictions during significant price shifts, or the opening of trading sessions may prevent exact execution. In such cases, the Company will execute the order at the best available price to ensure it is fulfilled as efficiently as possible.

The Company offers different types of Pending Orders, including Buy Limit, Buy Stop, Sell Limit, and Sell Stop, each designed to suit different trading strategies and account types. Execution of these orders is guided by the Company's Order Execution Policy, which considers a range of factors including price, costs, speed, likelihood of execution and settlement, and other relevant circumstances. While the Company takes all reasonable steps to execute Pending Orders in line with the client's instructions and best interests, exact execution at the requested price cannot always be guaranteed.

### **8. Take Profit**

A Take Profit Order is designed to help clients secure gains by automatically closing an open position when the price of a financial instrument reaches a predetermined level. This order is always linked to an existing Open Position or a Pending Order and cannot be placed independently; it must be submitted together with either a Market Order or a Pending Order. By setting a Take Profit level, clients can manage their trades proactively and lock in profits without needing to monitor the market continuously.

For Long Positions, the Company's trading platform monitors the Bid price. The Take Profit Order is triggered when the Bid price reaches or exceeds the level specified by the client, which must be set above the current Bid price. For Short Positions, the platform

monitors the Ask price, and the Order is executed when the Ask price reaches or falls below the client's specified level, which must be set below the current Ask price. Once the price condition is met, the Take Profit Order is executed, resulting in the complete closure of the relevant position.

Clients should note that the execution of Take Profit Orders is subject to market conditions, including price fluctuations, volatility, and liquidity. While the Company's trading systems endeavor to execute these orders at the specified levels, extreme market movements or gaps may result in execution at the next available price. Take Profit Orders are executed in line with the Company's Order Execution Policy, which ensures that factors such as price, speed, costs, likelihood of execution, and settlement are considered to achieve the best possible outcome for the client.

By using Take Profit Orders, clients can enhance risk management and trade planning, as these orders provide a mechanism to secure profits while remaining aligned with the overall trading strategy and market conditions.

## **9. Stop Loss**

A Stop Loss Order serves as a protective mechanism designed to limit potential losses on a trade. It allows a client to define a specific price level at which an open position will be automatically closed if the market moves unfavorably. Stop Loss Orders must always be linked to an existing Open Position or a Pending Order and cannot be placed independently; they are submitted together with either a Market or Pending Order.

For Long Positions, the Company's trading platform monitors the Bid price and triggers the Stop Loss when the price falls to or below the level set by the client, which is always positioned below the current Bid price. For Short Positions, the platform monitors the Ask price, triggering the Stop Loss when the price rises to or above the level specified by the client, which is always above the current Ask price. Once triggered, the Stop Loss Order closes the position at the first available market price.

Clients should note that execution is subject to prevailing market conditions. Factors such as rapid price movements, low liquidity, or high volatility may affect the exact execution price, and the order may be filled at the next available price rather than the specified level. Stop Loss Orders are processed in accordance with the Company's Order Execution Policy, which considers key factors including price, speed, costs, likelihood of execution, and settlement to ensure that orders are executed in the client's best interest.

By setting Stop Loss levels, clients can actively manage risk and protect their trading capital. This functionality provides peace of mind, as it allows positions to be automatically managed in line with pre-defined risk parameters without requiring constant market monitoring.

## **10. Client Consent**

By using the Company's trading services, you acknowledge and agree to be bound by this Order Execution Policy. You further understand and accept that by placing trades in any Financial Instruments offered by the Company, you will become a Client of the Company. Notwithstanding this, any funds you have deposited may remain held and safeguarded with an intermediary broker, in accordance with applicable arrangements.

This Policy may be updated or amended periodically. Any changes to this Policy shall be deemed accepted by you when you continue to execute Orders through the trading platform provided by the Company. By placing an Order, you confirm that you have read, understood, and agreed to be bound by the terms of this Policy, including any amendments. It is your responsibility to ensure that you have reviewed the most current version of this Policy before trading.

## **11. Inquiries**

Clients who have questions regarding this Policy, wish to exercise their rights, or have any concerns related to data security, privacy, or protection are encouraged to contact the Company through the designated communication channels. The Company will make reasonable efforts to respond to inquiries in a timely and appropriate manner.

## **12. Policy Updates**

The Company reserves the right to amend, modify, or update this Policy at any time without prior notice. Clients are encouraged to review this Policy periodically to ensure they remain informed of the most current terms and provisions. Continued use of the Company's services constitutes acceptance of any such updates or amendments.

*Last updated: April 2026*